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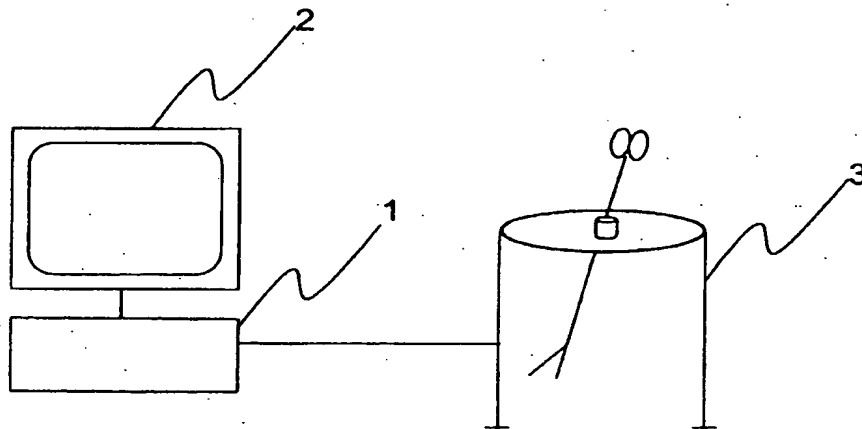
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## Declarations under Rule 4.17:

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[Continued on next page]

(54) Title: METHOD AND SYSTEM FOR SIMULATION OF SURGICAL PROCEDURES



(57) Abstract: A method and a system for simulating a surgical procedure on a bodily object, such as an organ, in a virtual environment. The virtual environment comprises a three-dimensional mathematically described model of the bodily object which reflects geometrical and mechanical properties, and a virtual instrument that is controlled by a physical feeding means, which makes it possible to affect said model. The method comprises the steps of representing a two-dimensional projection of said model by means of a video sequence containing a recorded view of a real bodily object, engagement with the virtual instrument only interacting with said model. In addition, the invention relates to a method for simulating an operation containing several surgical procedures.

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METHOD AND SYSTEM FOR SIMULATION OF SURGICAL PROCEDURESField of the Invention

The present invention generally relates to simulation of surgical procedures and, in particular, to simulation of minimal invasive surgical procedures in a virtual environment.

Background Art

In modern surgery minimal invasive techniques are used in more and more applications. The development of technology within this relatively new field advances quickly, which results in great training requirements for surgeons. One way of rendering the training more effective is to use computer simulations. Known techniques of providing a credible simulation are very complicated and expensive with respect to computer utility in the form of processor and memory. Moreover, the result is not sufficient to provide a realistic simulation environment. The visual properties that the anatomy exhibits in reality are difficult and time-consuming to recreate in a simulation.

Within the field of laparoscopy a camera is used to supply picture information from the patient to the surgeon. The display screen shows the picture that the camera catches of the inside of, for example, the abdominal cavity. All the instruments and the anatomy with which the surgeon works are reproduced by means of the camera and the display screen. The surgeon uses the information on the display screen to control and operate his or her instruments and carry out the procedures which are required to perform the surgical operation. Since the minimal invasive techniques supply information to the surgeon by means of a display screen, the three-dimensional reality is reduced to two dimensions on the display screen.

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The picture therefore lacks, among other things, the information as to depth that exists in reality. The surgeon has to make up for this loss of information by studying lighting conditions, colours, etc.

5 By means of modern computer engineering, it is possible to provide realistic training situations in a virtual environment created by a computer program. In the computer program, a three-dimensional model of the object which the simulation concerns is provided. The user is  
10 provided with a projection thereof which should correspond to the picture information which in a real situation is caught by a camera. This means that all visual information, such as instrument and anatomy, is drawn by the computer. However, there are still considerable differences  
15 between the picture information that the computer tries to recreate and the actual picture that a camera catches. A large part of the picture information that the surgeon uses in real life, for example light effects and anatomic structures, factors such as breathing  
20 and beat of the pulse, which are present in the real situation are difficult to recreate realistically in the computer.

Many complicated processes within the field of surgery are expensive, if not impossible, to fully simulate  
25 in a computer. This means that the simulation can only consist of short procedures which lack the continuity that is usually present when the process is carried out. For instance, in US 5,791,907, Ramshaw et al disclose a system for simulation of an entire operation. This solution  
30 is founded on a database of recorded video sequences which visualise different procedures in an operation. The actual simulation then consists of the user being introduced to a video sequence which shows a separate procedure. Subsequently, the user is asked a number of questions.  
35 Then a video sequence is played showing the continuation of the operation which is determined by the answers to the questions.

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Object of the Invention

The object of the present invention is to provide a method and a system which create a realistic simulation environment, and which wholly or partly solve the above-mentioned problems. A further object is to provide a method and a system for a realistic simulation of a complete operation.

This is achieved by a method and a system according to the appended claims.

Summary of the Invention

The present invention relates to a method for simulating a surgical procedure on a bodily object, such as an organ, in a virtual environment provided by a computer unit. The environment comprises a three-dimensional mathematically described model of said bodily object, which reflects at least the geometrical and mechanical properties of said bodily object, and a virtual instrument which is controlled by a physical feeding means connected to said processing unit, which makes it possible to affect said model. The method according to the invention comprises the step of representing a two-dimensional projection of said model by means of a video sequence containing a recorded view of a real bodily object, engagement with the virtual instrument only interacting with said model.

In a virtual environment, an object can be viewed from different angles, but limits are often introduced in order to resemble the real environment. For example, in an operation in the abdomen, limits can be introduced so that a user in the virtual environment can work only from the directions which are physically possible in a real situation. In minimal invasive operations a laparoscopic camera is used to film inside the body. This results in the user limiting himself or herself to one or more viewing angles. Consequently, the virtual environment, i.e. a

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viewing angle, is determined and by means of this angle a two-dimensional projection is created from the three-dimensional model. This two-dimensional projection corresponds to that shown when a laparoscopic camera is filming inside a body. A bodily object here means something in the body that is subjected to the surgical procedure, for example, an organ such as the liver, the heart etc. or, for instance, a cancerous tumour. The computer unit can consist of a computer with associated equipment, for example a personal computer.

The virtual instruments used are controlled by a physical feeding means. The feeding means may consist of, for example, instruments which resemble those used in a real operation, may be mounted in a stand fitted with sensors. One example of such a physical feeding means is a "Laparoscopic Impulse Engine" which is made by Immersion Corporation, USA. This allows five degrees of freedom, i.e. rotation about a pivoting point (the point where the instrument is inserted into the body), the possibility of moving the instrument longitudinally inwards and outwards, rotation round the axis of the instrument and a gripping function. In order to allow the user to interact with the virtual object and show where the instrument is positioned relative to the same, also the virtual instruments are shown on the display screen. Conveniently, the initial position of the virtual instruments on the display screen is determined by the processing unit; subsequently, they are moved in the virtual environment based on the movements with the feeding means.

Since the viewing angle for the virtual object is fixed, the two-dimensional projection essentially becomes the same, notwithstanding movements due to pulse, breathing or intervention. If surface rendering is created with the purpose of obtaining a real appearance of the modelled object, it will be possible to simulate an operation. As mentioned by way of introduction, this does not, however, result in a realistic environment. Thus, the

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method according to the invention uses a recorded video sequence. The method according to the invention provides a realistic simulation environment which shows the natural movements of an object, such as breathing and pulse.

5 By replacing a computer-created projection of a three-dimensional model of a bodily object with a recorded video sequence, a considerably higher degree of realism is achieved. A fundamental idea behind the invention is that bodily organs in different human beings essentially

10 have the same structure. However, the computer-based model of the organ essentially has to be similar to the organ in the film. Unlike other solutions, the invention is based on the similarities of organs, i.e. the invention can be based on a generic model, for example, of a

15 stomach and on this apply the video sequence. However, adaptations may be necessary either by the generic model per se being affected by the picture material or by the picture material being affected by knowledge of the properties of the model. It is, however, important that the

20 virtual instrument acts on the computer model, and not on the video sequence. Since the video sequence contains a certain limited movement in the model such as breathing and beat of the pulse, the underlying model needs to be animated correspondingly so that an operation by means of

25 a virtual instrument hits the same point in the virtual model as the point that can be seen on the video sequence.

In order to visualise changes in the computer model, changes in what is shown need to be made. One way of doing this is to provide a rendered surface of those and

30 only those portions of said model which are affected by said virtual instrument, and to superimpose said rendered surface on said video sequence. Thus, a great advantage compared with known systems and methods is achieved since

35 only those portions that have been changed need to be rendered. This saves computer utility but, above all, the realism is increased for the user as regards the visual

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impression. Consequently, essentially the entire object is shown to the user as a filmed sequence, which is important on the one hand as regards the user's possibility of recreating the three-dimensionality in the two-dimensional picture and, on the other, to increase the feeling of being "for real". Preferably, the video sequence contains a whole breathing and pulse cycle. Since there are more beats of the pulse than breaths during a certain period of time, the video sequence suitably contains a sequence which starts when the beats of the pulse and the breathing take place at the same time and finishes when the beats of the pulse and the breathing occur simultaneously. The reason for this is that the virtual environment should be as similar as possible to the real one.

In addition, the invention allows what is shown of the virtual instruments to be affected by their interaction with the model. Since the video sequence is only a visualisation, while the virtual instruments interact with the model and, thus, uses the information as to depth in the model, information is obtained if the instruments are wholly or partly hidden by protruding parts of the model. For example, a needle is partly hidden as it penetrates the wall of an organ or an instrument may be hidden by an organ that is located in the foreground of the object to be operated on.

Since the virtual instrument works in a three-dimensional virtual environment, it is important to be able to analyse that the user correctly estimates how the three-dimensional environment is built based on the visual impression of the two-dimensional projection. Preferably, the method therefore comprises the step of measuring at least one parameter in the virtual instrument's movements or interaction with the object, the parameter reflecting the user's skill. Parameters which can be measured to estimate the skill are time, number of collisions with tissues, number of incorrect procedures, etc.

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By following up the simulation in a structured manner, the possibilities of learning are improved, especially learning of procedures that the users have not observed that they made incorrectly at the moment of the simulation.

Furthermore, the invention comprises a method for simulating a surgical operation containing a plurality of surgical procedures on a bodily object, such as an organ, in a virtual environment provided by a computer unit. The environment comprises a three-dimensional mathematically described model of said bodily object, which reflects at least the geometrical and mechanical properties of said bodily object, and a virtual instrument which is controlled by a physical feeding means connected to said processing unit, which makes it possible to affect said model. The method comprises the steps of representing a two-dimensional projection of said model by means of a video sequence containing a recorded view of a real bodily object, providing said model in a plurality of states which reflect the object during a number of distinct phases of an operation, providing a first plurality of video sequences which correspond to the natural movements of a real object during the respective states, providing a second plurality of video sequences which each visualise the surgical procedure that shows how the object is changed from one state to another, and of allowing the user to choose between simulating a procedure and looking at a visualisation of the procedure in order to change the object from one state to another.

Consequently, the possibility is achieved of simulating a whole operation. The states which reflect the object during a number of distinct phases of an operation can, for example, consist of an initial state with an unchanged object, an object having an incision for suture or the object with a completed suture. By such a method a training process is provided, in which the continuity in a real procedure is saved by using real picture informa-

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tion. This results in great advantages compared with systems that are based on a solution purely involving video sections, such as the solution which is presented in US patent application 5,791,907 by the users themselves  
5 having the possibility in the process of choosing to make the procedures they desire to practice, whereas they can choose to just look at visualisations of the procedures that they already know well or do not handle. Besides, a larger degree of comprehensive understanding is achieved  
10 when separate surgical procedures are not simulated individually but put in their context.

Furthermore, the invention comprises a system for simulating a surgical procedure on a bodily object, such as an organ, in a virtual environment provided by a computer unit. The environment comprises a three-dimensional mathematically described model of said bodily object, which reflects at least the geometrical and mechanical properties of said bodily object, and a virtual instrument which is controlled by a physical feeding means connected to said processing unit, which makes it possible  
15 to affect said model. The system comprises a two-dimensional projection of said model, which is represented by a video sequence containing a recorded view of a real bodily object, engagement with the virtual instrument only interacting with said model. This system essentially exhibits the same advantages as the corresponding method mentioned above.  
20  
25

#### Brief Description of the Drawings

30 In the following, the invention will be described in more detail by way of example with reference to the accompanying drawings, in which

Fig. 1 shows a simulation environment, and  
Figs 2a-2b show a recording of a video sequence.

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Description of a Preferred Embodiment

A simulation environment according to a preferred embodiment of the invention comprises a processing unit 1, a display screen 2, a feeding means 3, which is shown  
5 in Fig. 1. The virtual environment is realised by means of the processing unit 1. The processing unit can, for example, consist of a personal computer which is preferably equipped with a graphics card having 3D-circuits.

Figs 2a-2b show how a real surgical procedure is  
10 filmed by means of a camera both before, during as well as after the procedure. In order to allow filming inside a body, a laparoscopic camera is used. Fig. 2a shows how a laparoscopic camera is filming inside an organ and the picture obtained is shown in Fig. 2b. Subsequently, this  
15 film will constitute the base of the visual properties in the simulation. Apart from the picture information, luminous intensity, light angle and viewing angle are preferably recorded. From this film a section is selected, which constitutes a complete breathing and pulse cycle.  
20 Since it has the same beginning and end, it can be placed in a loop in order to continuously reflect the patient's natural movements. This means that there is a finite number of filmed pictures which together constitute a filmed video sequence. This video sequence is to be used to show  
25 the natural movements of a simulation object which is not affected by the virtual instrument. In addition, the actual operation is recorded, which makes it possible to visualise the whole operation.

In one embodiment a computer model of the objects  
30 appearing in the film is then created, which can be an organ, cancer cells, etc., in the virtual environment, based on the visual impression and knowledge of the anatomy of the object. This computer model becomes a three-dimensional model which is drawn relatively freely in a  
35 computer environment. In another embodiment a number of generic models of human organs and other objects have already been created. Irrespective of the point of depar-

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ture; it is on this model that the simulation will be based. It is thus important that the model has the geometrical, mechanical and tactile properties that a real object or organ has. Consequently, the model can be described entirely mathematically. The mathematically described data structure contains information about both surface and volume. The surface information can be used with the purpose of rendering and the volume information is necessary in order to learn about the mechanical and visual properties of the inside of the object. Examples of data structures are voxels or octtrees.

It is important to obtain the same perspective of the modelled object as the filmed object. In the simplest embodiment, this is carried out by turning the model in a computer environment in order to optically determine when the correct perspective is found. In a more advanced embodiment there are algorithms which turn and/or scale the model until it corresponds to the filmed object. During the comparison, one or more pictures from the filmed sequence are used. One way of comparing pictures from the film and projections of the model is to start with a picture from the film and then proceed with more pictures from the film with the purpose of possibly compensating by turning the model somewhat. Another way of comparing a picture from the film with a specific perspective of the model is to compare sharp lines in the picture with the outlines of the model in the projection. In the same way, it is possible to determine if darker portions in the picture together with information about the location of the light source are positioned deeper down than another portion. These comparisons result in a given perspective being obtained, which is then locked to be determined as the same as that from which the real object is filmed.

For the user of the simulation equipment, the limited video sequence is now presented as a projection of the model. Thus, a completely realistic environment for practicing is achieved. Since the model is located behind

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the film and the virtual instruments really operate in the model, full realism is achieved considering that the depth effect is fetched from the model and not the film. The film is presented to the user on the display screen 2.

The model and its visualisation through the video sequence now constitute the basis for the actual interaction with the user. In order to resemble a real situation, a feeding means 3 is used, which essentially is identical to that used in a real operation. However, this is mounted in a stand and connected to sensors for detecting movements. As in a real operation, the user looks at the movements of the instruments on the same screen 2 as that on which the visualisation of the model is shown. The instruments are represented as they would have looked like if they engaged with a real body. The difference is that the visualisation on the screen 2 does not originate from a laparoscopic camera, but from a visualisation created in the processing unit. The virtual instruments thus engage with the model, which allows feedback of power when the model contains the necessary data. Naturally, when real instruments engage with a real object, a change of the object occurs; for instance, an incision with a knife results in an incision in the object. Consequently, this is shown in a real operation on the screen which shows what the camera is filming. In the preferred embodiment rendered portions are created of the areas in the model which have changed visually in the perspective shown to the user. These are then superimposed on the video sequence. In order to make the rendered portions reflect the natural movements of the object, just as many rendered portions must be created as there are pictures in the video sequence.

In order to create a realistic environment, there is also in the preferred embodiment means for affecting how the virtual instruments 3 are visualised on the screen 2. Since the virtual object is completely described in three

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— dimensions at each moment of the animated computer model, it is possible to determine when a collision between the virtual instrument and the virtual object takes place. In reality, a deformation of the object occurs which is

5 highly evident, for example, when the tip of a needle is about to penetrate the tissue. The same effect is achieved by finding the point where the instrument hits the object and determining deformations. Subsequently, a new surface structure is determined in the deformed area

10 and from information about the light source when filming the video sequence, a new picture can be created. This picture is placed on the film in a new layer and by using the transparency in the borders which can be obtained by means of special 3D-graphics cards, the superposition can

15 take place with an extremely small visual border. By knowledge of the surfaces of the objects and the conditions in depth in the picture, the visualisation of the virtual instruments can be affected. Thus, the processing unit may know which parts of the virtual instruments have

20 been covered by parts of the objects that are located in front of the instrument or the parts that are covered if the instrument has penetrated in an object.

Advantageously, a form of lesson comprising a complete surgical procedure or operation can also be simulated. This is based on a number of procedures being prepared either for simulation or visualisation. When visualising, the video recordings are thus used which have been mentioned at the beginning of this section which shows a real filmed operation.

30 It should be understood that a number of modifications of the embodiment described above are possible within the scope of the invention; for example rendered portions of changes of the surface structure of the model can be placed in several layers on the video sequence.

35 Such variants and similar variants must be considered comprised by the invention as defined by the appended claims.

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## CLAIMS

1. A method for simulating a surgical procedure on a  
5 bodily object, such as an organ, in a virtual environment  
provided by a computer unit, which environment comprises  
a three-dimensional mathematically described model  
of said bodily object, which at least reflects the geo-  
metrical and mechanical properties of said bodily object,  
10 and  
a virtual instrument which is controlled by a physi-  
cal feeding means connected to said processing unit,  
which makes it possible to affect said model, the method  
being characterised by the step of  
15 representing a two-dimensional projection of said  
model by means of a video sequence containing a recorded  
view of a real bodily object,  
engagement with the virtual instrument only inter-  
acting with said model.  
20 2. A method as claimed in claim 1, which comprises  
the further steps of  
providing a rendered surface of those and only those  
portions of said model which are affected by said virtual  
instrument, and  
25 superimposing said rendered surface on said video  
sequence.  
3. A method as claimed in any one of the preceding  
claims, which comprises the further step of  
measuring the position of the light source relative  
30 to said bodily object when recording the video sequence,  
the step of rendering said rendered surface occurring by  
means of a light source having the same position.  
4. A method as claimed in any one of the preceding  
claims, wherein said video sequence is recorded by a  
35 laparoscopic camera.  
5. A method as claimed in any one of the preceding  
claims, wherein said video sequence is a loop which cor-

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responds to the natural movements of a real object during the initial state of the object.

6. A method as claimed in any one of the preceding claims, which further comprises the steps of

5       filming with a laparoscopic camera, from the same perspective, a real bodily object, and

          selecting a sequence from said recorded film that comprises a complete breathing and pulse cycle.

7. A method as claimed in any one of the preceding  
10       claims, which comprises the further steps of

          determining which parts of the virtual instrument are completely or partly covered by the virtual object, and

          placing only the parts which are not covered by the  
15       virtual instrument on the top of the layer with said video sequence.

8. A method as claimed in any one of the preceding claims, which comprises the further steps of

          measuring at least one parameter in the virtual in-  
20       strument's movements or interaction with the object, the parameter reflecting the user's skill.

9. A method as claimed in claim 8, wherein the parameter that is measured is collision with tissues.

10. A method for simulating a surgical operation  
25       containing a plurality of surgical procedures on a bodily object, such as an organ, in a virtual environment provided by a computer unit, which environment comprises

          a three-dimensional mathematically described model of said bodily object, which reflects the geometrical and  
30       mechanical properties of said bodily object, and

          a virtual instrument which is controlled by a physical feeding means connected to said processing unit, which makes it possible to affect said model, the method being c h a r a c t e r i s e d by the steps of

35       representing a two-dimensional projection of said model by means of a video sequence containing a recorded view of a real bodily object.

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providing said model in a plurality of states which reflect the object during a number of distinct phases during an operation,

providing a first plurality of video sequences which  
5 correspond to the natural movements of a real object during the respective states,

providing a second plurality of video sequences which each visualise the surgical procedure that shows how the object changes from one state to another, and

10 allowing the user to choose between simulating a procedure and looking at a visualisation of the procedure with the purpose of changing the object from one state to another.

11. A system for simulating a surgical procedure on  
15 a bodily object, such as an organ, in a virtual environment provided by a computer unit, which environment comprises

a three-dimensional mathematically described model of said bodily object, which reflects at least the geometrical and mechanical properties of said bodily object,  
20 and

a virtual instrument which is controlled by a physical feeding means connected to said processing unit, which makes it possible to affect said model, the system  
25 being characterised by

a two-dimensional projection of said model which is represented by a video sequence containing a recorded view of a real bodily object,

engagement with the virtual instrument only interacting with said model.  
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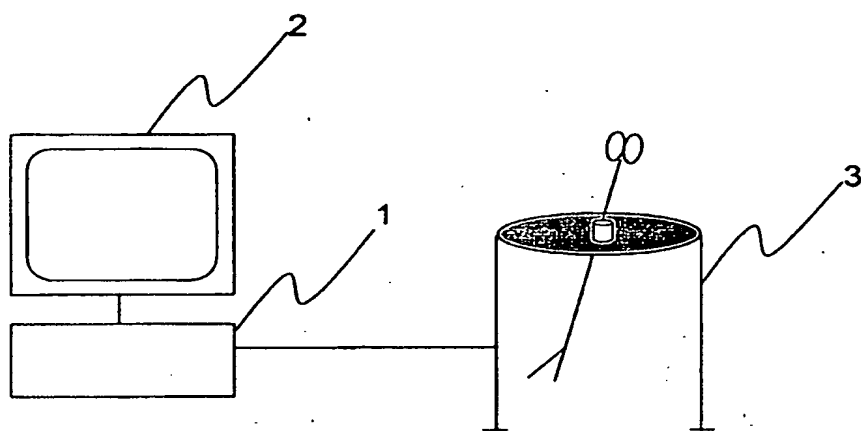


Fig. 1

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Fig. 2b

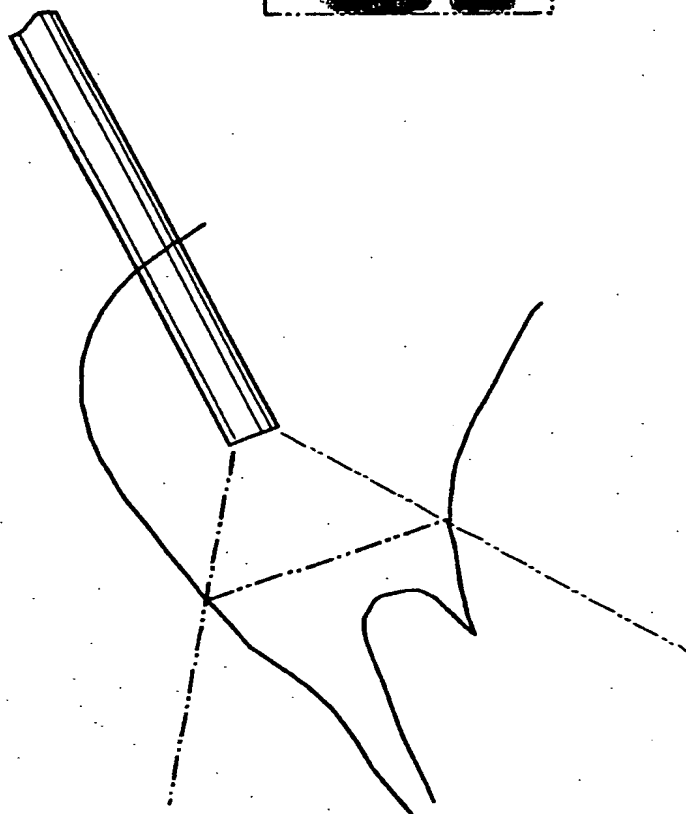


Fig. 2a

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 02/00054

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: G09B 23/28

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: G09B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9942978 A1 (BOSTON DYNAMICS, INC.), 26 August 1999 (26.08.99) --	
A	WO 9917265 A1 (BOSTON DYNAMICS, INC.), 8 April 1999 (08.04.99) --	
A	US 5791907 A (RAMSHAW ET AL), 11 August 1998 (11.08.98) -----	

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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Information on patent family membersInternational application No.  
**PCT/SE 02/00054**

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
WO	9942978	A1	26/08/99	US 5919010 A	06/07/99
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